

3732

UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00,1030)

PATENT

In the Application of:

Rudger Rubbert

Serial No.: 09/254,078

Filed: May 3, 1999

For: Method and Device for Carrying  
Out Optical Pick Up



Group Art Unit: 3732

Examiner (Not known)

Assistant Commissioner for Patents  
Washington DC 20231

TRANSMITTAL LETTER

Sir:

In regard to the above identified application:

1. We are transmitting herewith the attached:

1. Information Disclosure Statement
2. Form PTO-1449
3. Cited References
4. Return Postcard

2. With respect to additional fees:

- A. ☐ No additional fee is required.
- B. ☐ Attached is a check in the amount of \$\_\_\_\_\_.
- C. ☒ Charge the total fee of \$240.00 to our Deposit Account No. 13-2490.

3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this 9<sup>th</sup> day of November, 2000.

By

Thomas A. Fairhall  
Reg. No. 34,591

RECEIVED  
NOV 15 2000  
TC 3700 MAIL ROOM



L. Pan/Co  
11-17-00  
#9/IDS

UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00-1030)

PATENT

In the Application of: )

Rudger Rubbert )

Group Art Unit: 3732

Serial No.: 09/254,078 )

Filed: May 3, 1999 )

For: Method and Device for Carrying )  
Out Optical Pick Up )

INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§ 1.97-1.98

RECEIVED  
NOV 15 2000  
TC 3700 MAIL ROOM

Asst. Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97 - 1.98, the Applicant wishes to make the following references of record in the above-identified application. A copy of each reference is enclosed. This Information Disclosure Statement is in compliance with the duty of candor as set forth in 37 C.F.R. § 1.56. These references are also listed on the enclosed PTO Form 1449. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing of the present application.

Pursuant to 37 C.F.R. § 1.97 (c) (2) and 1.17 (p), please charge the fee of \$240.00 for consideration of this Statement to Deposit Account No. 13-2490.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 USC sections 102 or 103.

#### **CITED REFERENCES**

##### **U.S. PATENTS:**

1. Weise et al., U.S. Patent No. 5,424,836, issued June 13, 1995
2. Rekow, U.S. Patent No. 5,027,281, issued June 25, 1991

##### **FOREIGN PATENT:**

1. German Patent Application No. 42 18219, December 9, 1993

##### **STATEMENT OF RELEVANCE:**

The content of U.S. Patent 5,424,836 is believed to be essentially identical to the content of German Patent Application 42 18219.

Respectfully Submitted,

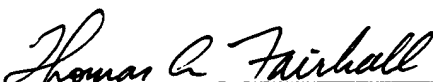
McDonnell Boehnen Hulbert & Berghoff


Date: 11/9/00

By: Thomas A. Fairhall  
Thomas A. Fairhall  
Reg. No. 34,591

## CERTIFICATE OF MAILING

The undersigned hereby certifies that the foregoing **Information Disclosure Statement Pursuant to 37 C.F.R. §§ 1.97-1.98** is being deposited in the United States Postal Service, as first class mail postage prepaid, in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on this 9 day of Nov., 2000.

  
\_\_\_\_\_  
Thomas A. Fairhall

<b>FORM PTO-1449</b> (Rev. 2-32)	<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>	<b>Atty. Docket No.</b>  00,1030	<b>Serial No.</b>  09/254,078
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)		<b>Applicant:</b> Rudger Rubbert	
		<b>Filing Date:</b> 05/03/99	<b>Group:</b> 3732

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	5,424,836	06/13/95	Weise et al.			
	5,027,281	06/25/91	Rekow			

**FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class	Subclass	Translation	
					Yes	No
4 2 1 8 2 1 9	12/09/93	Germany				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).**


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

 RECEIVED  
 NOV 15 2000  
 TC3100 MAIL ROOM